

IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO

**UNITED STATES OF AMERICA,**  
Plaintiff,

v.

**CARLOS J. ECHEVARRIA-GUZMAN,**  
Defendant.

CRIMINAL NO. 17-647 (PG)



**PLEA AND FORFEITURE AGREEMENT**  
**(Pursuant to Fed. R. Crim. P. 11(c)(1)(B))**

TO THE HONORABLE COURT:

COMES NOW, the United States of America, by and through its attorneys for the District of Puerto Rico: **Rosa Emilia Rodríguez-Vélez**, United States Attorney, **Timothy Henwood**, First Assistant United States Attorney, **Jenifer Y. Hernández-Vega**, Assistant United States Attorney and Deputy Chief, Violent Crimes and RICO Unit, and **Camille García Jiménez**, Special Assistant United States Attorney, along with Defendant, **Carlos J. Echevarría-Guzmán**, and his counsel, **Eleonora C. Marranzini**, and, pursuant to Federal Rule of Criminal Procedure 11(c)(1)(B), state to this Honorable Court that they have reached a Plea and Forfeiture Agreement, the terms and conditions of which are as follows:

**1. COUNTS TO WHICH DEFENDANT PLEADS GUILTY**

Defendant agrees to plead guilty to Count One.

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*C.J.E.G.*

**Count One:**

On or about December 15, 2017, in the District of Puerto Rico and within the jurisdiction of this Court, Carlos J. Echevarría-Guzmán, the defendant herein, having been convicted in court of a crime punishable by imprisonment for a term exceeding one (1) year, did knowingly and unlawfully possess in and affecting interstate commerce, firearms and ammunition, as those terms are defined in Title 18, United States Code, Sections 921(a)(3) and (17)(A) respectively, that is one 9mm Leinad pistol, model PM 11, serial number 94-0025881, one .38 Colt revolver, serial number 382136, one hundred and ninety-six rounds of 9mm caliber ammunition, one hundred and eighty-eight rounds of .22 caliber ammunition, one .38 caliber ammunition, two 380 caliber ammunition and seventy-two rounds of .40 caliber ammunition, said firearms and ammunition having been shipped and transported in interstate or foreign commerce. All in violation of Title 18, United States Code, Sections 922(g)(1) and 924(a)(2).

**2. MAXIMUM PENALTIES**

The maximum statutory penalties for the offense charged in Count One of the Indictment is a term of imprisonment of not more than ten (10) years, pursuant to Title 18, United States Code, Section 924(a)(2); a fine not to exceed two hundred and fifty thousand dollars (\$250,000.00), pursuant to Title 18, United States Code, Section 3571(b)(3); a supervised release term of not more than three (3) years, pursuant to Title 18, United States Code, Section 3583(b)(2); and a Special Monetary Assessment of one hundred dollars (\$100.00), pursuant to Title 18, United States Code, Section 3013(a)(2)(A).

**3. SENTENCING GUIDELINES APPLICABILITY**

Defendant understands that the sentence will be left entirely to the sound discretion of the Court in accordance with Title 18, United States Code, Sections 3551-3586, and the United States Sentencing Guidelines (hereinafter "Guidelines"), which have been rendered advisory by the United States Supreme Court decision in United States v. Booker, 543 U.S. 220 (2005). Further, Defendant acknowledges that parole has been abolished and that the imposition of his sentence may not be suspended.

**4. SPECIAL MONETARY ASSESSMENT**

Defendant agrees to pay a special monetary assessment of one hundred dollars (\$100.00), per count of conviction, to be deposited in the Crime Victim Fund, pursuant to Title 18, United States Code, Section 3013(a)(2)(A).

**5. FINES AND RESTITUTION**

Defendant is aware that the Court may, pursuant to U.S.S.G. Section 5E1.2, order him to pay a fine sufficient to reimburse the government for the costs of any imprisonment, probation, or supervised release ordered. The Court may also impose restitution. The United States will make no recommendations as to the imposition of fines and restitution.

**6. RULE 11(c)(1)(B) WARNINGS**

Defendant is aware that his sentence is within the sound discretion of the sentencing judge and of the advisory nature of the Guidelines, including the Guidelines Policy Statements, Application, and Background Notes. Further, Defendant understands and acknowledges that the

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Court is not a party to this Plea and Forfeiture Agreement and thus, is not bound by this agreement or the sentencing calculations and recommendations contained. Defendant specifically acknowledges that the Court has jurisdiction and authority to impose any sentence within the statutory maximum set for the offense to which Defendant is pleading guilty. Defendant is aware that the Court may accept or reject the Plea and Forfeiture Agreement, or may defer its decision whether to accept or reject the Plea and Forfeiture Agreement until it has considered the pre-sentence investigation report. See Fed. R. Crim. P. 11(c)(3)(A). Should the Court impose a sentence up to the maximum established by statute, Defendant cannot, for that reason alone, withdraw his guilty plea, and will remain bound to fulfill all of the obligations under this Plea and Forfeiture Agreement. See Fed. R. Crim. P. 11(c)(3)(B).

#### **7. APPLICABILITY OF UNITED STATES SENTENCING GUIDELINES**

Defendant is aware that pursuant to the decision issued by the Supreme Court of the United States in United States v. Booker, 543 U.S. 220 (2005), the Guidelines are no longer mandatory and must be considered effectively advisory. Therefore, after due consideration of the relevant factors enumerated in Title 18, United States Code, Section 3553(a), the United States and Defendant submit the following advisory Guidelines calculations:

COUNT ONE T. 18 U.S.C. 922(g)(1)	
BASE OFFENSE LEVEL [U.S.S.G. §2K2.1(a)(6)(A)]	14
SPECIFIC OFFENSE CHARACTERISTICS [U.S.S.G. § 2K2.1(b)(6)(B)] used or possessed any firearm or ammunition in connection with another felony offense	+4
Acceptance of Responsibility pursuant to U.S.S.G. § 3E1.1	-3
TOTAL OFFENSE LEVEL	15
IMPRISONMENT RANGE IF CRIMINAL HISTORY CATEGORY I CATEGORY II	(18-24) months (21-27) months

#### 8. SENTENCE RECOMMENDATION

After due consideration of the relevant factors enumerated in Title 18, United States Code, Section 3553(a), for Count One the parties will request a sentence of **twenty-four (24) months** of imprisonment.

The parties agree that any recommendation by either party for a term of imprisonment below or above the stipulated sentence recommendation constitutes a material breach of the Plea and Forfeiture Agreement.

#### 9. NO STIPULATION AS TO CRIMINAL HISTORY CATEGORY

The parties do not stipulate as to any Criminal History Category for Defendant.

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**10. WAIVER OF APPEAL**

The defendant knowingly and voluntarily agrees that, if the imprisonment sentence imposed by the Court is 24 months or less, the defendant waives the right to appeal any aspect of this case's judgment and sentence, including but not limited to the term of imprisonment or probation, restitution, fines, forfeiture, and the term and conditions of supervised release.

**11. NO FURTHER ADJUSTMENTS OR DEPARTURES**

The United States and Defendant agree that no further adjustments or departures to Defendant's total adjusted base offense level and no variance sentence under Title 18, United States Code, Section 3553 shall be sought by Defendant. The parties agree that any request by Defendant for an adjustment or departure will be considered a material breach of this Plea and Forfeiture Agreement, and the United States will be free to ask for any sentence, either guideline or statutory.

**12. SATISFACTION WITH COUNSEL**

Defendant represents to the Court that he is satisfied with counsel, **Eleonora C. Marranzini**, and asserts that counsel has rendered effective legal assistance.

**13. RIGHTS SURRENDERED BY DEFENDANT THROUGH GUILTY PLEA**

Defendant understands that by entering into this Plea and Forfeiture Agreement he surrenders certain rights as provided in this agreement. Defendant understands that the rights of criminal Defendants include the following:

- a. If Defendant had persisted in a plea of not guilty to the charges, Defendant would have had the right to a speedy jury trial with the assistance of counsel. The trial may

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be conducted by a judge sitting without a jury if Defendant, the United States and the judge agree.

- b. If a jury trial is conducted, the jury would be composed of twelve lay persons selected at random. Defendant and Defendant's attorney would assist in selecting the jurors by removing prospective jurors for cause where actual bias or other disqualification is shown, or by removing prospective jurors without cause by exercising peremptory challenges. The jury would have to agree, unanimously, before it could return a verdict of either guilty or not guilty. The jury would be instructed that Defendant is presumed innocent, that it could not convict Defendant unless, after hearing all the evidence, it was persuaded of Defendant's guilt beyond a reasonable doubt, and that it was to consider each charge separately.
- c. If a trial is held by the judge without a jury, the judge would find the facts and, after hearing all the evidence and considering each count separately, determine whether or not the evidence established Defendant's guilt beyond a reasonable doubt.
- d. At a trial, the United States would be required to present its witnesses and other evidence against Defendant. Defendant would be able to confront those witnesses and Defendant's attorney would be able to cross-examine them. In turn, Defendant could present witnesses and other evidence on Defendant's own behalf. If the witnesses for Defendant would not appear voluntarily, Defendant could require their attendance through the subpoena power of the Court.
- e. At a trial, Defendant could rely on the privilege against self-incrimination to decline to testify, and no inference of guilt could be drawn from Defendant's refusal to testify. If Defendant desired to do so, Defendant could testify on Defendant's own behalf.

#### **14. STIPULATION OF FACTS**

The accompanying Stipulation of Facts signed by Defendant is hereby incorporated into this Plea and Forfeiture Agreement. Defendant adopts the Stipulation of Facts and agrees that the facts therein are accurate in every respect and, had the matter proceeded to trial, that the United States would have proven those facts beyond a reasonable doubt.

**15. FIREARMS FORFEITURE**

Pursuant to Title 18, United States Code, Section 924(d) and Title 28, United States Code, Section 2461(c), the defendant agrees to forfeit all of his right, title, and interest in the following property (hereafter, collectively, the “Property” that is, one 9mm Leinad pistol, model PM 11, serial number 94-0025881, one .38 Colt revolver, serial number 382136, one hundred and ninety-six (196) rounds of 9mm caliber ammunition, one hundred and eighty-eight (188) rounds of .22 caliber ammunition, one .38 caliber ammunition, two 380 caliber ammunition and seventy-two (72) rounds of .40 caliber ammunition and four magazines. Defendant acknowledges that he possessed the Property in violation of Title 18, United States Code, Section 922(g)(1), as set forth in Count One of the Indictment, and that the Property is therefore subject to forfeiture to the United States.

**16. LIMITATIONS OF PLEA AND FORFEITURE AGREEMENT**

This Plea and Forfeiture Agreement binds only the United States Attorney’s Office for the District of Puerto Rico and Defendant. It does not bind any other federal district, state, or local authorities.

**17. ENTIRETY OF PLEA AND FORFEITURE AGREEMENT**

This written agreement constitutes the complete Plea and Forfeiture Agreement between the United States, Defendant, and Defendant’s counsel. The United States has made no promises or representations except as set forth in writing in this Plea and Forfeiture Agreement and denies the existence of any other term and conditions not stated herein.

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**18. AMENDMENTS TO PLEA AND FORFEITURE AGREEMENT**

No other promises, terms or conditions will be entered unless in writing and signed by all parties.

**19. VOLUNTARINESS OF GUILTY PLEA**

Defendant acknowledges that no threats have been made against him and that he is pleading guilty freely and voluntarily because he is guilty.

**ROSA EMILIA RODRÍGUEZ-VÉLEZ**

United States Attorney

  
**Timothy Henwood**

First Assistant U.S. Attorney

Dated: 5-18-18

  
**Jenifer Y. Hernandez-Vega**

Assistant U.S. Attorney

Deputy Chief, Violent Crimes & RICO Unit

Dated: 5/18/2018

  
**Camille García Jiménez**

Special Assistant U.S. Attorney

Dated: May 17, 2018

  
**Eleonora C. Marranzini**

Counsel for Defendant

Dated: 6/28/18

  
**Carlos J. Echevarría-Guzmán**

Defendant

Dated: 6/28/18

## UNDERSTANDING OF RIGHTS

I have consulted with my counsel and fully understand all of my rights with respect to the Indictment pending against me. Further, I have consulted with my attorney and fully understand my rights with respect to the provisions of the Sentencing Guidelines, Policy Statements, Application, and Background Notes which may apply in my case. I have read this Plea and Forfeiture Agreement and carefully reviewed every part of it with my attorney. My counsel has translated the plea agreement to me in the Spanish language and I have no doubts as to the contents of the agreement. I fully understand this agreement and voluntarily agree to it.

Date: 6/28/18

  
**Carlos J. Echevarría-Guzmán**  
Defendant

I am the attorney for Defendant. I have fully explained Defendant's rights to Defendant with respect to the pending Indictment. Further, I have reviewed the provisions of the Sentencing Guidelines, Policy Statements, Application, and Background Notes, and I have fully explained to Defendant the provisions of those guidelines which may apply in this case. I have carefully reviewed every part of this Plea and Forfeiture Agreement with Defendant. I have translated the plea agreement and explained it in the Spanish language to the defendant who has expressed having no doubts as to the contents of the agreement. To my knowledge, Defendant is entering into this Plea and Forfeiture Agreement voluntarily, intelligently, and with full knowledge of all consequences of Defendant's plea of guilty.

Date: 6/28/18

  
**Eleonora C. Marranzini**  
Counsel for Defendant

### STIPULATION OF FACTS

In conjunction with the submission of the accompanying Plea Agreement in this case, the United States of America and Defendant, **Carlos J. Echevarría-Guzmán**, agree that the following recitals provide a true and accurate summary of the facts leading to Defendant's acceptance of criminal responsibility for violation of Title 18, United States Code, Section 922(g)(1). The following is a synopsis of the facts in this case:

On December 15, 2017, at approximately 1:45 pm, Puerto Rico Police Department (PRPD) Agents from Arecibo Stolen Vehicles Division were conducting an investigation related to a stolen Hyundai Accent vehicle, red in color, license plate IAL-738. The stolen vehicle had a Global Positioning System (GPS) and they were following this lead. The Agents, in an unmarked vehicle, parked in road 833, Km 2.3, Barrio Guaraguao, Guaynabo, trying to locate the vehicle. While they were parked, another vehicle, a gray Hyundai Accent, with license tag IWD-649, stopped right next to their car. The driver, later identified as Carlos J. ECHEVARRIA-GUZMAN, looked at the agents and asked what they were doing. One of the Agents told him that they were going to a party and were waiting for someone. Since Echevarría's questioning was very suspicious, the agents ran the license tag of the Hyundai he was driving. The investigation revealed that the gray Hyundai was reported stolen on June 14, 2017. The Agents proceeded to follow ECHEVARRIA-GUZMAN.

ECHEVARRIA-GUZMAN was arrested for possessing the stolen Hyundai Accent, license tag IWD-649. During the search of the car, the agents seized one (1) small black bag containing two loaded magazines with capacity for 29 rounds ammunitions, one loaded magazine with a capacity for 13 rounds of ammunitions and a total of seventy-one (71) .40 caliber ammunitions.

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The investigation revealed that ECHEVARRIA-GUZMAN had been previously convicted of a crime punishable for a term exceeding one (1) year of imprisonment.


The investigation further revealed that no ammunition, including the ones seized in this case, are manufactured in the Commonwealth of Puerto Rico and therefore, the ammunitions were shipped or transported in interstate or foreign commerce.


For purposes of this plea agreement, **Carlos J. Echevarría-Guzmán** acknowledges that, having been previously convicted of a crime punishable by imprisonment for a term exceeding one year, he knowingly and unlawfully possessed the ammunitions described above in connection with another felony offense, that is, a state law violation of possession of a stolen vehicle.

At trial, the United States would have proven beyond a reasonable doubt that Defendant is guilty as charged in Count One of the Indictment. This would have been proven through physical and documentary evidence, including, but not limited to, live testimony of PRPD and federal agents, evidence recovered at the scene, photographs, documents, and other physical evidence.

Discovery was timely made available to Defendant for review.

  
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**Camille García Jiménez**  
Special Assistant United States Attorney  
Dated: May 17, 2018

  
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**Eleonora C. Marranzini**  
Counsel for Defendant  
Dated: 6/28/18

  
\_\_\_\_\_  
**Carlos J. Echevarría-Guzmán**  
Defendant  
Dated: 6/28/18